

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Giuseppe Di Fabbri et al.  
Docket No.: 2002-0355A  
Application No.:  
Filing Date:  
Examiner Name:  
Group Art Unit:  
Title: System for Developing a Dialog Manager Using Modular Spoken-Dialog Components

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP: Patent Application  
THE COMMISSIONER FOR PATENTS  
PATENT & TRADEMARK OFFICE  
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SIR:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. The references were cited by or submitted to the Office in parent Application No. \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully,

Date: 03/01/04

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# PATENT APPLICATION

PTO/SB/08B MODIFIED

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	
				Filing Date	March 1, 2004
				First Named Inventor	Giuseppe Di Fabbizio et al.
				Group Art Unit	
				Examiner Name	
Sheet	1		1	Attorney Docket Number	2002-0355A

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Survey of Existing Interactive Systems, Peter Bohlin, Johan Bos, Staffan Larsson, Ian Lewin, Colin Matheson, David Milward, The TRINDI Project, Department of Linguistics, Göteborg University, Gothenburg, Sweden, February 1999.	<input type="checkbox"/>
	2		<input type="checkbox"/>
	3		<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

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